Application Source: ROE					**File Type:** Delimited			
File Group:					**Reinsurance Year:** 2022			
Record Name: Exception Requests - Producer					**Version:** Approved			
Record Code: R10					**Release Date:** 7/1/2021			

Record Number	Output	Field Number	Field Name	Data Type	Max Length	Format	BUS Key	Req	Rules Summary
R10		1	AIP Code	Character	2		Y	Y	AIP Code must be valid; edit with the AIP ICE, "D00100".
R10		2	Reinsurance Year	Numeric	4	CCYY	Y	Y	Reinsurance Year must be "2022".
R10		3	Record Type Code	Character	6			Y	Record Type Code must equal "R10".
R10		4	AIP Exception Request Producer Key	Character	15		Y	Y	AIP Exception Request Producer Key must be unique within the Reinsurance Year.
R10		5	Policy Number	Character	7				May be left blank if not established at time of request submission.
									When provided, Policy Number must be greater than zero and must be a total of 7 digits with leading zeros.
									Policy Number must contain only numeric characters.
									Policy Number must not equal "0000000".
R10		6	PIC Code	Character	3			Y	Must be a valid PIC Code, edit against ICE 'D00101'
R10		7	Location State Code	Character	2			Y	Must be a valid state code edit against ICE State 'D00106'
									Location State Code must be valid for the AIP/PIC Code; edit with the AIP State table.
R10		8	Tax ID	Character	9			Y	Tax ID must be a valid SSN when Tax ID Type Code equals SSN "1", edit with SSN Table.

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Record Number	Output	Field Number	Field Name	Data Type	Max Length	Format	BUS Key	Req	Rules Summary
									Tax ID must be a valid Bureau of Indian Affairs number when Tax ID Type Code equals BIA, "5", edit the first five positions with the County ICE "D00107". Positions 1-2 must be a valid Location State Code, positions 3-5 must be a valid Location County Code and positions 6-9 cannot contain any spaces.
									Tax ID must contain only numeric characters.
									Tax ID number must not equal "000000000".
R10		9	Tax ID Type Code	Character	1			Y	Tax ID Type Code must be valid; edit with the Tax ID Type ICE, "D00005".
R10		10	Entity Type Code	Character	1			Y	Entity Type Code must be valid; edit with the Entity Type ICE, "D00012".
R10		11	Business Name	Character	50				Business Name is required when Last Name is empty.
									Business Name must be empty when Last Name exists.
									Business Name must be empty when not allowed for the Entity Type Code; edit with ID Type Entity Type Primary Producer ICE, "D00019".
									Business Name must contain only alpha-numeric characters and can include the following special characters: ( ), (-), (,), (.), ('), (&), (%), (*), (+), (#), (/).

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Record Number	Output	Field Number	Field Name	Data Type	Max Length	Format	BUS Key	Req	Rules Summary
R10		12	Last Name	Character	25				Last Name is required when Business Name is empty.
									Last Name must be empty when Business Name exists.
									Last Name when required must include at least two characters.
									Last Name must contain only alpha characters and can include the following special characters: (-), (.), ( ), ('), (,).
									Last Name must be empty when not allowed for the Entity Type Code; edit with the ID Type Entity Type Primary Producer ICE, "D00019".
R10		13	First Name	Character	20				First Name is required when Business Name is empty.
									First Name must be empty when Business Name exists.
									First Name must include only alpha characters and can include the following special characters: (-), (.), ( ), ('), (,).
R10		14	Middle Name	Character	20				Middle Name must contain only alpha characters and can include the following special characters: (-), (.), ( ), ('), (,).
									Middle Name must be empty if Last Name is empty.
R10		15	Suffix	Character	10				Suffix must contain only alpha characters and can include the following special characters: (-), (.), ( ), ('), (,).

<table>
<tr><td colspan="2" align="left">Application Source: ROE</td><td align="right">File Type: Delimited</td></tr>
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</table>

Record Number	Output	Field Number	Field Name	Data Type	Max Length	Format	BUS Key	Req	Rules Summary
									Suffix must be empty if Last Name is empty.
R10		16	Title	Character	15				Title must contain only alpha characters and can include the following special characters: (-), (.), ( ), ('), (,).
									Title must be empty if Last Name is empty.
R10		17	USDA Common Customer ID	Character	6				Reserved.
R10	*	18	Initial Accepted Batch Number	Numeric	4	9999			Initial Accepted Batch Number is the original sequence number assigned when this record was first received at RMA.
R10	*	19	Initial Accepted Date	Date/Time	21	YYMMDD hh:mm:ss.fff			Initial Accepted Date is the original date assigned when this record was first received at RMA.
R10	*	20	Batch Received Date	Date/Time	21	YYMMDD hh:mm:ss.fff			Batch Received Date is the date that the AIP file is received by RMA.
R10	*	21	Batch Number	Numeric	4	9999			Batch Number is a sequential number assigned when an AIP file is received.
R10	*	22	Batch Record ID	Numeric	15				Batch Record ID is a sequential number assigned to each record in the AIP file by RMA during processing. Batch Record ID is unique within the record type for the batch.
R10	*	23	Process Result Code	Character	1				Process Result Code equals the final result code as determined by PASS processing, refer to the Process Result ICE , "D00002".

"*" = Output Only

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File Group:		Reinsurance Year:	2022
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Record Number	Output	Field Number	Field Name	Data Type	Max Length	Format	BUS Key	Req	Rules Summary
Record Level Rules									
		1							For the Exception Request-Producer, "R10" record to be accepted, its associated Exception Request Producer Address, "R10A" records must be accepted in the same batch.
		2							An RMA issued Tax ID will use the same PASS edits/requirements as an SSN.
		3							All Insured must have a valid Tax ID Type Code otherwise, the AIP must obtain a valid RMA assigned number for any Insurable entity without a valid EIN or SSN. See MGR-05-008.
		4							The AIP must notify all of its Employees and Affiliates in writing of the duty to disclose to the Company and in turn FCIC, any Business, Financial, Legal, or Familial relationship with a Policy Holder or a person with interest in the policy, in accordance with Appendix IV, of the SRA. See SRA, section IV, F, 4, H.
		5							Record must be submitted between 2020-07-01 and 2026-02-01.