

CONFLICT OF INTEREST REQUIREMENTS FOR eDAS
Format/Edits

| Section/Attribute | Description/Edit |
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CONFLICT

“CONFLICT” is the title of this section. It identifies the opening and closing of the xml transaction for a conflict. This document provides additional reporting requirements of data under this section.

Policy precedes the Conflict of Interest.

CHANGE_FLAG (ATTRIBUTE)

If Process Flag = 2 or 5 certain data will be allowed to change after initial acceptance. Tags have been identified by flags below. Flag of ‘1’ will only be allowed to change data identified by ‘1’. Flag of ‘2’ will be allowed to change data identified by ‘1 or 2’. Flag of ‘3’ will be allowed to change any data.

Valid flags:

- 1 = general
- 2 = company approval
- 3 = RMA approval

Change flag will default to 2 unless otherwise indicated.

PROCESS_FLAG (ATTRIBUTE)

Valid flags:

- 1 = original
- 2 = modify
- 3 = delete
- 4 = validate (original)
- 5 = validate (modify)
- 6 = quote (only applicable for premium)
- 7 = retrieve
- 8 = cancel (only applicable for Livestock crop policy)
- 9 = re-instate (only applicable for Livestock crop policy)

Process flag will default to 1 unless otherwise indicated.

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| No. | Tag | Key | Level of Change | IN/OUT | REQ/OPT/CON | Max Size | Picture | Description/Edit |
|-----|----------------------|-----|-----------------|--------|-------------|----------|---------|---|
| 1 | <CROP_YEAR> | Y | NA | Y/Y | REQ | 4 | 9(04) | Must = Reinsurance Year. |
| 2 | <COI_RESPOND_TYPE> | | 2 | Y/Y | REQ | 10 | X(10) | COI Respondent Type. Must be Agent, Adjuster, or Employee. Alpha/numeric. |
| 3 | <COI_RESPOND_SSN> | | 2 | Y/Y | REQ | 9 | 9(09) | COI Respondent SSN. Must match an accepted Agent SSN, Adjuster SSN, or Employee SSN. Must be 9 digits. |
| 4 | <COI_QUESTION> | | 1 | Y/Y | REQ | 60 | X(60) | Response to Conflict of Interest Questions 1 - 11. Based on what the above respondent had indicated as a potential conflict with this policy for their 'Y' response to COI questions. "Comma delimited". Example: <COI_QUESTION>1, 2, 5</COI_QUESTION> |
| 5 | <AUTHORIZATION_NUM> | | 2 | Y/Y | CON | 5 | 9(05) | Authorization Number provided by Reinsurance Services Division (RSD) approving the original, change or deletion. Change Flag must = 3 and Process Flag must = 1, 2, 3, 4 or 5. If changing a "Key" field with Level of Change = 2, Authorization Number assigned by administrative screen and Change Flag must = 2. |
| 6 | <FCIC_DT_TM> | | NA | N/Y | REQ | 19 | X(19) | FCIC Control Date and Time of process, format MM/DD/YYYY HH:MM:SS. There is a space between the YY and HH. |
| 7 | <TRANS_SEQUENCE_NUM> | | NA | N/Y | REQ | 8 | 9(08) | Transaction Sequential Number assigned to each transaction number processed by eDAS. |
| 8 | <TRANS_RECORD_NUM> | | NA | N/Y | REQ | 6 | 9(06) | Transaction Record Number |
| 9 | <TRANSACTION_FLAG> | | NA | N/Y | REQ | 1 | X(01) | If transaction accepted, flag = Y. If rejected, flag = N. If deleted, flag = D. If cancel, flag = C. |

Notes: Key fields are: Crop Year (field 1).

Key fields with Level of Change = 3 will be allowed with RMA approval. When a company submits this information, eDAS will change all other associated data to the new information.

Key fields with Level of Change = 2 requiring a change to that field, company will use an administrative screen to identify this change. eDAS will use this information to verify the data when submitted.

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| No. | Tag | Key | Level of Change | IN/OUT | REQ/OPT/CON | Max Size | Picture | Description/Edit |
|-----|-----|-----|-----------------|--------|-------------|----------|---------|------------------|
|-----|-----|-----|-----------------|--------|-------------|----------|---------|------------------|

An Agent, Adjuster, or Employee Section must be accepted for the AIP and COI Respondent Tax ID before a Conflict of Interest will be accepted.

Field 4 (COI_QUESTION) must contain unique number(s) '1 – 11' for the corresponding COI question the respondent answered 'Y' when they indicated a conflict with this policy in response to that numbered COI question. Must contain at least one number before it will be accepted.

Information regarding column headers:

- 1) 'Tag' identifies the naming convention used in creation of XML.
- 2) 'Key' identifies the tags that are key to the validation/storage of data.
- 3) 'Level of Change' identifies the data that is allowed to change and at what level according to Change Flag.
- 4) 'IN/OUT' identifies the direction of data, IN for input and OUT for output with flags 'N' (NO) and 'Y' (YES).
- 5) 'REQ/OPT/CON' identifies if the data is required (REQ), optional (OPT), or conditional (CON). If optional or conditional and there is no data to report the tag is not used.
- 6) 'Max Size' is the maximum size of data allowed for that tag.
- 7) 'Picture' identifies the type of data expected.
- 8) 'Description/Edit' gives additional information.

On an update, only the key fields that define the sections are required plus any changed fields or new section(s).