

**Application Source:**  
**File Group:**  
**Record Name:** Conflict of Interest  
**Record Code:** P51

**File Type:** Delimited  
**Reinsurance Year:** 2015  
**Version:** Approved  
**Release Date:** 7/1/2014

| <u>Record<br/>Number</u> | <u>Output</u> | <u>Field<br/>Number</u> | <u>Field Name</u>       | <u>Data Type</u> | <u>Max<br/>Length</u> | <u>Format</u> | <u>BUS<br/>Key</u> | <u>Req?</u> | <u>Rules</u>   |
|--------------------------|---------------|-------------------------|-------------------------|------------------|-----------------------|---------------|--------------------|-------------|--|
| P51                      |               | 1                       | AIP Code                | Character        | 2                     |               | Y                  | Y           | AIP Code must be valid; edit with the AIP ICE, "D00100".   |
| P51                      |               | 2                       | Reinsurance Year        | Numeric          | 4                     | CCYY          | Y                  | Y           | Reinsurance Year must equal "2015".  |
| P51                      |               | 3                       | Record Type Code        | Character        | 6                     |               |                    | Y           | Record Type Code must equal "P51".   |
| P51                      |               | 4                       | AIP COI Key             | Character        | 15                    |               |                    | Y           | AIP COI Key must be unique within the submitted Conflict of Interest, "P51" records.   |
| P51                      |               | 5                       | AIP Adjuster Key        | Character        | 15                    |               | Y                  |             | AIP Adjuster Key must match the corresponding Adjuster, "P56" record for the Adjuster who completed the COI Questionnaire.                   |
|                          |               |                         |                         |                  |                       |               |                    |             | AIP Adjuster Key is Required when AIP Insurance Agent Key is empty and AIP Employee Key is empty.  |
| P51                      |               | 6                       | AIP Insurance Agent Key | Character        | 15                    |               | Y                  |             | AIP Insurance Agent Key must match the corresponding Insurance Agent, "P55" record for the Agent who completed the COI Questionnaire.        |
|                          |               |                         |                         |                  |                       |               |                    |             | AIP Insurance Agent Key is Required when AIP Adjuster Key is empty and AIP Employee Key is empty.  |
| P51                      |               | 7                       | AIP Employee Key        | Character        | 15                    |               | Y                  |             | AIP Employee Key must match the corresponding Employee, "P54" record for the company or agency employee who completed the COI Questionnaire. |

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|----------------------|---------------|---------------------|-------------------------------|------------------|-------------------|---------------|----------------|-------------|--|
|                      |               |                     |                               |                  |                   |               |                |             | AIP Employee Key is Required when AIP Insurance Agent Key is empty and AIP Adjuster Key is empty.                  |
| P51                  |               | 8                   | Policy Number                 | Character        | 7                 |               | Y              | Y           | Policy Number must be exactly 7 characters in length.  |
|                      |               |                     |                               |                  |                   |               |                |             | Policy Number must contain only numeric characters.  |
|                      |               |                     |                               |                  |                   |               |                |             | Policy Number must not equal "0000000".  |
| P51                  |               | 9                   | PIC Code                      | Character        | 3                 |               | Y              | Y           | PIC Code must be valid; edit with PIC ICE, "D00101".   |
| P51                  |               | 10                  | Location State Code           | Character        | 2                 |               | Y              | Y           | Location State Code must be valid; edit with the State ADM, "A00520".  |
|                      |               |                     |                               |                  |                   |               |                |             | Location State Code must be valid for the AIP Code; edit with Plan of Ops/AIP Company table.                       |
| P51                  |               | 11                  | COI Question Code List        | Character        | 50                |               |                |             | COI Question Code List must be valid; edit with the COI Question ICE, "D00015".                                    |
| P51                  | *             | 12                  | First Submission Flag         | Character        | 1                 |               |                |             | First Submission Flag must equal Yes, "Y" the first time this record is submitted, otherwise No, "N".              |
| P51                  | *             | 13                  | Initial Accepted Batch Number | Numeric          | 4                 | 9999          |                |             | Initial Accepted Batch Number is the original sequence number assigned when this record was first received at RMA. |

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|----------------------|---------------|---------------------|-----------------------|------------------|-------------------|--------------------------|----------------|-------------|--|
| P51                  | *             | 14                  | Initial Accepted Date | Date/Time        | 21                | CCYYMMDD<br>hh:mm:ss.fff |                |             | Initial Accepted Date is the original date assigned when this record was first received at RMA.  |
| P51                  | *             | 15                  | Batch Received Date   | Date/Time        | 21                | CCYYMMDD<br>hh:mm:ss.fff |                |             | Batch Received Date is the date that the AIP file is received by RMA.  |
| P51                  | *             | 16                  | Batch Number          | Numeric          | 4                 | 9999                     |                |             | Batch Number is a sequential number assigned when an AIP file is received.   |
| P51                  | *             | 17                  | Batch Record ID       | Numeric          | 15                |                          |                |             | Batch Record ID is a sequential number assigned to each record in the AIP file by RMA during processing. Batch Record ID is unique within the record type for the batch. |
| P51                  | *             | 18                  | Process Result Code   | Character        | 1                 |                          |                |             | Process Result Code equals the final result code as determined by PASS processing, refer to the Process Result ICE , "D00002".   |

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|--------------------------------|--------------------------------|-------------------------------|-------------------|------------------|-----------------------------|---------------|--------------------------|-------------|---|
| <b>Record Level Rules</b>      |                                |                               |                   |                  |                             |               |                          |             |   |
|                                |                                | 1                             |                   |                  |                             |               |                          |             | The Conflict of Interest "P51" record will be deleted if the corresponding Policy Producer, "P10" record is deleted.                      |
|                                |                                | 2                             |                   |                  |                             |               |                          |             | An Employee "P54", or Insurance Agent "P55", or Adjuster "P56" record must exist prior to submitting a Conflict of Interest "P51" record. |
|                                |                                | 3                             |                   |                  |                             |               |                          |             | All Conflict of Interest "P51" records reported to the RMA, will replace any previously reported Conflict of Interest "P51" record.       |
|                                |                                | 4                             |                   |                  |                             |               |                          |             | Policy Delete "P49" record has no impact on the Conflict of Interest "P51" record.  |
|                                |                                | 5                             |                   |                  |                             |               |                          |             | Record must be submitted between 7/1/2014 and 10/11/2019.   |
|                                |                                | 6                             |                   |                  |                             |               |                          |             | The Conflict of Interest, "P51" record will not be accepted when processing has been suspended for this record type per RMA directive.    |